

1 **Innate and adaptive nasal mucosal immune responses following experimental human**  
2 **pneumococcal colonization**

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29

30    **Abstract**

31    *Streptococcus pneumoniae* (Spn) is a common cause of respiratory infection, but also frequently  
32    colonizes the nasopharynx in the absence of disease. We used mass cytometry to study immune  
33    cells from nasal biopsy samples collected following experimental human pneumococcal challenge  
34    in order to identify immunological mechanisms of control of Spn colonization. Using 37 markers, we  
35    characterized 293 nasal immune cell clusters, of which 7 were associated with Spn colonization. B  
36    cell and CD8<sup>+</sup>CD161<sup>+</sup> T cell clusters were significantly lower in colonized than in non-colonized  
37    subjects. By following a second cohort before and after pneumococcal challenge we observed that  
38    B cells were depleted from the nasal mucosa upon Spn colonization. This associated with an  
39    expansion of Spn polysaccharide-specific and total plasmablasts in blood. Moreover, increased  
40    responses of blood mucosal associated invariant T (MAIT) cells against *in vitro* stimulation with  
41    pneumococcus prior to challenge associated with protection against establishment of Spn  
42    colonization and with increased mucosal MAIT cell populations. These results implicate MAIT cells  
43    in the protection against pneumococcal colonization and demonstrate that colonization affects  
44    mucosal and circulating B cell populations.

45

46    **Introduction**

47    *Streptococcus pneumoniae* (Spn) is a major cause of morbidity and mortality worldwide (1, 2). It is  
48    the most common bacterial cause of otitis media, pneumonia and meningitis in children (1). Risk  
49    factors for pneumococcal disease include very young or advanced age, co-infection with influenza,  
50    HIV infection, chronic lung disease, asplenia and smoking (3).

51    However, nasopharyngeal colonization, or carriage, of Spn in the absence of disease is common,  
52    with approximately 50% of infants and 10% of adults colonized at any time (4). Carriage is an  
53    immunising event in both children and adults but is also important as a prerequisite of disease and  
54    as the source of transmission (5-8). Successful colonization by Spn depends on many factors  
55    including bacterial factors, niche competition with other microbes, evasion of mucociliary clearance  
56    and host nutrient availability as well as immunological control of Spn (9). Epidemiological and  
57    modelling data have demonstrated that the immunizing effect of carriage is likely mediated by a  
58    combination of serotype-dependent and serotype-independent mechanisms (10-12).

59    The introduction of pneumococcal conjugate vaccines (PCV) has led to significant reductions in  
60    carriage prevalence of covered serotypes, leading to herd protection and a decrease in  
61    pneumococcal disease in unvaccinated adults in addition to conferring direct protection (13).  
62    However, only 13 of approximately 100 Spn serotypes are currently covered by PCVs and the  
63    elucidation of immune mechanisms that associate with the control of Spn carriage remains an area  
64    of active investigation (14).

65    Mouse models have suggested that Th17-mediated recruitment of neutrophils and monocytes to the  
66    nasopharynx is the mechanism of control and clearance of Spn carriage (15-17). In contrast,  
67    depletion of B cells or CD8<sup>+</sup> T cells did not impair the clearance of Spn in murine models (18, 19).  
68    Amplification of monocyte recruitment in an auto-feedback loop via CCL2 was found to be important  
69    for clearance, further supporting the role for these cells in control of carriage (20). Innate factors  
70    have also been implicated in murine models as disruption of IFN- $\alpha$  or interleukin-1 signalling is

71 associated with increased colonization (21, 22). Recently, we demonstrated using an experimental  
72 human pneumococcal challenge (EHPC) model that carriage leads to degranulation of nasal-  
73 resident neutrophils and recruitment of monocytes to the nasal mucosal surface (23). These  
74 responses were impaired by co-infection with live attenuated influenza virus, which associated with  
75 increased carriage density (24). Protection against experimental carriage acquisition in an  
76 unvaccinated setting is further associated with the levels of circulating memory B cells, but not levels  
77 of IgG, directed against the Spn polysaccharide capsule (25). Following PCV, very high levels of IgG  
78 associate with protection against experimental carriage acquisition, likely by mediating Spn  
79 agglutination followed by mucociliary clearance (26, 27). However, the relative role of these and  
80 other adaptive and innate immune cell subsets in controlling Spn at the human nasal mucosa  
81 remains largely unknown (28). The relatively small number of cells that can be collected from the  
82 nasal mucosa using minimally-invasive nasal curettage has limited the capacity to analyse the role  
83 of cellular subsets in controlling Spn carriage at the human nasal mucosa (29).

84 Here, we collected nasal biopsies under local anaesthesia following experimental human  
85 pneumococcal challenge. This allowed for a comprehensive analysis of mucosal immunity during  
86 Spn carriage as these samples yield substantially more cells than minimally-invasive curettes. Nasal  
87 mucosal samples were analysed using mass cytometry (CyTOF), a technique in which antibodies  
88 are labelled with rare earth metals and that enabled the investigation of 37 protein markers  
89 simultaneously on a single-cell level (30). This method is ideally suited to investigate the relative  
90 understudied mucosal immune populations as the large number of markers allow the identification  
91 of previously unknown cell subsets and markers. Indeed, CyTOF has recently provided new insights  
92 into alveolar macrophage subpopulations in the lung and innate lymphoid cells differentiation  
93 pathways in the gut (31, 32). By combining nasal biopsies and CyTOF, we were thus able to study  
94 in-depth the immunological role of innate and adaptive cell subsets at the human nasal mucosa and  
95 their role during pneumococcal colonization.

96

## 97 **Results**

### 98 **Characterization of nasal immune populations**

99 Twenty healthy subjects negative for natural pneumococcal carriage at baseline screening were  
100 challenged intranasally with type 6B Spn (Figure 1A and Table 1). Carriage state was assessed at  
101 days two and seven post challenge and a nasal biopsy was collected at ten days post challenge  
102 (Supplementary Video 1), the timepoint at which Spn starts to be cleared from the nose (33, 34).  
103 Eight subjects became colonized with Spn (carriage<sup>+</sup>), while twelve subjects remained carriage<sup>-</sup>  
104 (Figure 1A). Biopsies yielded a median of  $2.3 \times 10^5$  cells (IQR:  $1.6 \times 10^5$  -  $3.2 \times 10^5$ ) per subject,  
105 approximately 90% of which were stromal cells, which were stained with a panel of thirty-eight  
106 antibodies and analysed by CyTOF (Figure 1B, Supplementary Table 1). Viable immune cells were  
107 manually gated from all acquired events and subsequently clustered by hierarchical-stochastic  
108 neighbour embedding (h-sne) using Cytosplore software (Figure 1C,2) (35-37). H-sne is a recently  
109 developed method in which t-distributed stochastic neighbor embedding (t-sne) is performed  
110 sequentially to cluster first global cell populations, each of which is then in turn clustered into  
111 subpopulations.

112 Based on the expression of 37 markers, a total of 199,426 immune cells from all subjects were  
113 divided into nine lineages (CD8<sup>+</sup> T cells, CD4<sup>+</sup> T cells, myeloid cells, innate lymphoid cells, B cells,  
114 double-negative T cells, granulocytes, CD117<sup>+</sup> cells and plasma cells, in order of decreasing  
115 abundance). These cell lineages were further divided into twenty-two subpopulations and 293  
116 clusters (Figure 1C and Table 2). Cell numbers were normalized to the number of stromal cells for  
117 each subject to correct for varying biopsy yields. Normalized abundancies were then compared  
118 between carriage<sup>-</sup> and carriage<sup>+</sup> subjects for each of the lineages, subpopulations and clusters.  
119 There were no significant differences in frequencies between total lineages or subpopulations  
120 between carriage<sup>-</sup> and carriage<sup>+</sup> subjects. However, at a finer level seven clusters were significantly  
121 higher in carriage<sup>-</sup> than in carriage<sup>+</sup> subjects (Figure 1C, blue bars). Of note, three B cell clusters  
122 were higher in carriage<sup>-</sup> subjects (Figure 1C). Moreover, three CD8<sup>+</sup> T cell clusters, all expressing

CD161, and one CD8<sup>dim</sup> T cell cluster were higher in carriage<sup>-</sup> subjects than in carriage<sup>+</sup> subjects (Figure 1C). The seven significant clusters strongly correlated ( $r > 0.70$ ) with eighty-eight clusters in other lineages/subpopulations, sixty-eight of which were in B or T cell lineages, highlighting an interconnectivity between B and T cell subpopulations in the human nasopharynx (Figure 1C). We also investigated whether Spn load was associated with cluster abundance to determine the effect of cell clusters on the control of bacterial load. At day 2, four clusters were significantly negatively correlated with Spn density, including the CD8<sup>dim</sup> T cell cluster ( $r = -0.51$ ,  $p = 0.023$ ) and one of the CD161<sup>+</sup> CD8<sup>+</sup> T cell clusters ( $r = -0.51$ ,  $p = 0.021$ ) that was increased in carriage<sup>-</sup> subjects over carriage<sup>+</sup> subjects. The other two clusters that negatively associated with density were also CD8<sup>+</sup> T cell clusters, with one expressing CD161. At day 7, only two clusters were significantly associated with Spn density: the CD8<sup>dim</sup> T cell cluster ( $r = -0.55$ ,  $p = 0.011$ ) and one B cell cluster ( $r = -0.45$ ,  $p = 0.049$ ).

### **Nasal B cells are depleted during pneumococcal carriage**

We then further investigated the three B cell clusters that were higher in carriage<sup>-</sup> subjects (Figure 3A,B). All three significantly higher clusters (cluster 4, 9 and 17) expressed CD45RA, HLA-DR, CD19, CCR6 and CCR7 to varying degrees. None of these clusters expressed CD38, a marker for plasmablasts, or CD5, a marker for innate B cells (38, 39). Cluster 9 was 2.9-fold higher in carriage<sup>-</sup> subjects ( $p = 0.047$ ) and cells in this cluster expressed also low levels of CXCR5 and CD27. Cluster 17 (2.0-fold higher,  $p = 0.049$ ) additionally expressed the B cell activation marker CD69. To assess whether the higher frequency in carriage<sup>-</sup> subjects was related to increased B cells in carriage<sup>-</sup> subjects or decreased B cells in carriage<sup>+</sup> subjects, we longitudinally measured CD19<sup>+</sup> B cell frequencies in nasal microsamples collected from an independent cohort (Figure 3C and Supplementary Figure 1A). Compared to baseline, B cell levels decreased following pneumococcal carriage at days 2 (2.1-fold,  $p = 0.048$ ), 6 (2.8-fold), 9 (2.0-fold) and 27 (3.1-fold,  $p = 0.028$ ) post-inoculation. In the carriage<sup>-</sup> group, B cell levels decreased 1.1-fold at days 2 and 6, increased 1.2-

fold at day 9 and decreased 1.2-fold at day 27, respectively and were thus relatively stable. The fold-change decrease in nasal B cell levels did not associate with Spn density at any timepoint.

### **Pneumococcal carriage increases circulating plasmablasts**

We hypothesized that the depletion of B cells from the nasal mucosa following carriage establishment was due to a re-circulation of activated B cells. Although, none of the B cell clusters that were lower in the carriage<sup>+</sup> group expressed the plasmablast marker CD38, it has been demonstrated previously that memory B cells differentiate rapidly into plasmablasts upon activation (40). Therefore, we measured numbers of Spn-specific and total plasmablasts in peripheral blood mononuclear cells (PBMC) collected before and after carriage establishment using a flow cytometry-based assay (Supplementary Figure 1B). During carriage, the frequency of 6B polysaccharide-specific plasmablasts among total B cells increased while the frequency of plasmablasts specific to the pneumococcal protein pneumolysin remained unaltered (Figure 4A). As a negative control we measured levels of plasmablasts specific for an unrelated Spn capsular type (15B), which were not affected as expected. However, the frequency of total circulating plasmablasts among all B cells increased (median 1.5x, IQR: 1.2-2.8x;  $p = 0.008$ ) suggesting that nasal B cells became non-specifically activated during carriage. Similar results were obtained when normalizing to the total number of lymphocytes, demonstrating this was not due to other shifting B cell populations (Supplementary Figure 2A). We then investigated CCR10 expression on these plasmablasts, which has been reported to mark IgA secreting cells (41) and is potentially important for homing of B cells to mucosal tissues including the airways (42, 43). The total population of plasmablasts post carriage displayed reduced numbers of CCR10<sup>+</sup> cells, in contrast to 6B-specific plasmablasts, indicating differential expansion between specific and non-specific B cell populations (Figure 4B). This is supported by the observation that increased circulating levels of 6B polysaccharide-specific plasmablasts inversely correlated with the nasal B cell CyTOF clusters 9 and 20, while total plasmablast increases inversely correlated with the CyTOF B cell clusters 21 (Figure 4C). Clusters 9 and 21 still negatively correlated with levels of circulating 6B-specific and total plasmablasts,

174 respectively, after normalization to total lymphocyte numbers (Supplementary Figure 2B). Thus, we  
175 wanted to assess whether both Spn-specific as well as unrelated B cells became activated following  
176 carriage, leading to recirculation. We therefore measured antibody levels in serum against not only  
177 Spn but also *Streptococcus pyogenes*, *Staphylococcus aureus* and *Haemophilus influenzae* as  
178 these are common colonizers of the human nasopharynx and thus nasal B cells against these  
179 bacterial species are likely present in the nose of most individuals. Following Spn colonization, IgG  
180 levels specific for Spn (median 1.4x, IQR: 1.1-2.4) and *Haemophilus influenzae* (median 1.2x, IQR:  
181 1.1-1.5) significantly increased, while IgG levels specific for *Streptococcus pyogenes* and  
182 *Staphylococcus aureus* were not significantly altered (Supplementary Figure 3A). Serum IgA  
183 concentration only increased for Spn and not for *Haemophilus influenzae* (Hi) or any of the other  
184 bacterial species (Supplementary Figure 3B). To investigate whether this observed increase in  
185 Haemophilus-specific IgG was due to an increase in cross-reactive antibodies, directed against for  
186 example the surface antigen choline phosphate (ChoP) or the capsular polysaccharide (44, 45), we  
187 also measured *Haemophilus*-specific IgG titers following absorption with whole cell pneumococcus  
188 (Supplementary Figure 3C). This abrogated the increased titers against Hi post carriage, indicating  
189 this was likely due to cross-reactivity. Similarly, in nasal wash, levels of IgA against Spn and Hi were  
190 increased, whereby the latter also was prevented by pre-absorption of nasal wash with Spn  
191 (Supplementary Figure 3D,E).

## 192 **Nasal CD8 Tissue-resident memory T cells are higher in carriage<sup>-</sup> subjects**

193 The three clusters of CD8<sup>+</sup> T cells and the cluster of CD8<sup>dim</sup> T cells that were higher in carriage<sup>-</sup>  
194 subjects all expressed CD69, a marker of tissue-resident memory (Trm) cells (Figure 5A). To verify  
195 that these CD69<sup>+</sup> CD8<sup>+</sup> T cells represented Trm cells, we measured the expression of CD103 and  
196 CD49a on CD69<sup>+</sup> and CD69<sup>-</sup> cells by flow cytometry from a representative biopsy (Supplementary  
197 Figure 4A). Indeed, 89.1% of nasal CD69<sup>+</sup> CD8<sup>+</sup> T cells expressed CD103 and CD49a, confirming  
198 that these were Trm cells (Figure 5B) (46). The markers CD5, CD38, HLA-DR, CCR6, CD127, CCR7  
199 and CD11c were expressed in cluster-specific patterns and at varying intensities among the



200 significant clusters. This suggests that clusters of cells with varying degrees of activation and  
201 memory types were enriched in carriage<sup>-</sup> subjects. One cluster expressed only low levels of CD8  
202 (cluster 10 of CD8<sup>dim</sup> T cells, 2.0-fold higher,  $p = 0.016$ ), which could reflect cytotoxic effector memory  
203 cells (47). We then stimulated nasal biopsy cells and PBMC overnight with PMA and ionomycin to  
204 assess the functional capacity of nasal CD8<sup>+</sup> T cells (Figure 5C). Among nasal CD8<sup>+</sup> T cells, 94.8%  
205 produced tumor necrosis factor alpha (TNF) and/or interferon gamma (IFN- $\gamma$ ) following stimulation,  
206 compared to 36% of blood CD8<sup>+</sup> T cells, demonstrating that nasal CD8<sup>+</sup> T cells are highly functional.

#### 207 **Baseline circulating MAIT functionality associates with resistance to pneumococcal carriage**

208 Three of the four significant clusters expressed CD161, a marker for mucosal associated invariant T  
209 (MAIT) cells, and we therefore tested the hypothesis that MAIT cell responses against Spn were  
210 associated with protection against carriage. PBMC collected prior to pneumococcal challenge were  
211 stimulated *in vitro* with heat-inactivated Spn and activation (CD69) and cytokine production (TNF,  
212 IFN- $\gamma$  and IL-17A) were assessed (Supplementary Figure 4B). MAIT cells of both carriage<sup>-</sup> and  
213 carriage<sup>+</sup> groups upregulated CD69 after a 3-day culture with heat-inactivated Spn (Supplementary  
214 Figure 4C). However, only MAIT cells from carriage<sup>-</sup> subjects produced increased levels of TNF and  
215 IFN- $\gamma$ , but not IL-17A, upon restimulation *in vitro* with heat-inactivated Spn (Figure 5D). Conversely,  
216 MAIT cells from carriage<sup>+</sup> subjects did not produce increased levels of any cytokine upon stimulation.  
217 This was specific to MAIT cells as conventional CD8<sup>+</sup> T cells responded by producing small amounts  
218 of IFN- $\gamma$  and no TNF (Supplementary Figure 4D). The baseline responses of MAIT cells in blood  
219 upon restimulation showed a positive correlation with numbers of nasal cells at ten days post  
220 pneumococcal challenge in CyTOF CD161<sup>+</sup> CD8<sup>+</sup> T cell cluster 9, which was significantly higher in  
221 the carriage<sup>-</sup> group ( $r = 0.54$ ,  $p = 0.02$ , Figure 5E).

222 To assess the kinetics of nasal MAIT cells, we collected nasal curettes before and at 2 and 6 days  
223 post inoculation in an independent cohort and analysed total MAIT cells by flow cytometry  
224 (Supplementary Figure 5). Total MAIT cell numbers were similar between carriage<sup>-</sup> and carriage<sup>+</sup>  
225 groups at all timepoints, as observed by CyTOF at day 10. Due to the low numbers of cells that can

226 be obtained using minimally-invasive curettage, we were not able to longitudinally measure MAIT  
227 cell subsets that were significantly associated with carriage as identified by CyTOF.

228 **Association between baseline IgG and abundance of B and CD8<sup>+</sup> T cell clusters**

229 We wanted to further characterize the relationship between abundance of the clusters that were  
230 significantly different between carriage<sup>+</sup> and carriage<sup>-</sup> groups with levels of baseline IgG against Spn  
231 to assess whether recall responses were involved. As previously reported, there was no difference  
232 between carriage<sup>+</sup> and carriage<sup>-</sup> groups in levels of baseline IgG against Spn (Figure 6A) (7, 25, 27).  
233 Of the seven clusters significantly associated with carriage status, one cluster (B cell cluster 9)  
234 showed a positive association between baseline IgG and cluster abundance (Figure 6B). This B cell  
235 cluster also correlated with the increased number of 6B-specific plasmablasts following colonization,  
236 which could suggest that these B cells are linked to production of Spn 6B-specific antibodies.

237 **Nasal monocytes show limited differentiation into macrophages**

238 Monocytes have been previously associated with the clearance of Spn carriage (16, 23), however  
239 these cells have not been previously phenotyped in detail in the human nasopharynx. Of the twenty-  
240 five clusters defined in the myeloid lineage, fifteen expressed CD14 (Supplementary Figure 6). Of  
241 these, only two also expressed CD16. Four CD14<sup>+</sup> clusters expressed the macrophage markers  
242 CD163 and CD206 and an additional three clusters expressed CD206 but not CD163 (48). However,  
243 alveolar monocytes can express CD206, suggesting this is not a definitive indication of differentiation  
244 (49). The activation markers CD25 and CD86 were present on five monocyte clusters (50). Thus,  
245 monocyte/macrophages in the nose mainly consisted of classical monocytes with limited  
246 differentiation into macrophages.

247 **Characterization of nasal CD4<sup>+</sup> memory T cells**

248 CD4<sup>+</sup> T memory cells, in particular Th17 cells, were previously found to be critical for Spn immunity  
249 in mice models of nasal colonization (15, 16). Of all cells in the CD4<sup>+</sup> T cell lineage, 89.6% expressed

the memory marker CD45RO. Of these, 60.3% expressed CD161, a marker that has been proposed to identify Th17 cells (51, 52). Another 4.6% of memory cells was defined by expression of high levels of CD25, a marker for regulatory T cells. We defined twenty-three clusters of CD161<sup>-</sup> CD4<sup>+</sup> T memory cells, twenty-one clusters of CD161<sup>+</sup> CD4<sup>+</sup> T memory cells and nine clusters of CD25<sup>hi</sup> CD4<sup>+</sup> T memory cells (Supplementary Figure 7). All CD4<sup>+</sup> T memory cell clusters expressed a combination of the markers CD7, CD127, HLA-DR, CD38 and CD69 demonstrating a wide range in activation and differentiation status (46). The CD25<sup>hi</sup> CD4<sup>+</sup> T memory cells likely were regulatory T cells as they were predominantly negative for CD127 and two of these clusters expressed cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) and CD27 (53). CD161 was not restricted to Th17 cells as among CD161<sup>+</sup> CD4<sup>+</sup> T memory cells, two clusters expressed also CD8 and were thus double-positive T cells (54). In addition, two clusters expressed CD25 without CD127 expression indicating regulatory T cells, and one cluster expressed chemoattractant receptor-homologous molecule expressed on Th2 cells (CRTH2), a marker of Th2 cells (55).

### **Cellular distribution through the nasal mucosa**

We then performed immunohistochemistry on a biopsy from a challenged but carriage<sup>-</sup> subject to further understand the distribution of these cells through the mucosal tissue (Figure 7). CD4<sup>+</sup> T cells were found predominantly in the subepithelial layer (Figure 7C,D), while CD8 and CD161 were also found at the epithelial layer (Figure 7E,F). Similar to CD4<sup>+</sup> T cells, B cells (defined by CD20) were mostly observed in the sub-epithelium, while myeloid cells (CD68) could be seen at both the epithelial and sub-epithelial layer (Figure 7G,H). Neutrophils were found abundantly at the epithelial surface but also in the sub-epithelium (Figure 7I,J).

### **Discussion**

This study comprehensively characterised immune cells in biopsies collected from the human nasal mucosa. As nasal samples were collected ten days following experimental human pneumococcal challenge, we were able to associate the frequency of specific immune populations with Spn

275 carriage. Given the difficulty in access to such tissue samples, especially in a setting where the onset  
276 of infection is known, this provided a unique opportunity to investigate mucosal immune responses  
277 not undertaken previously. The application of CyTOF led to a broad and comprehensive study of  
278 cellular subsets involved in immunity against Spn carriage, deriving 293 immune clusters belonging  
279 to nine cellular lineages. Clusters belonging to B cells and CD8<sup>+</sup> CD161<sup>+</sup> T cells were higher in  
280 carriage<sup>-</sup> subjects. In addition to carriage status, we also associated Spn density with cluster  
281 abundance. Several CD8<sup>+</sup> T cell clusters negatively correlated with Spn load, further supporting a  
282 protective function for these cells.

283 B cells were depleted from the nasal mucosa following the establishment of Spn carriage. This  
284 depletion correlated on an individual level with increased numbers of circulating 6B polysaccharide  
285 specific and total plasmablasts. Thus, this depletion likely was due to recirculation of activated B  
286 cells rather than due to apoptosis of nasal B cell upon Spn polysaccharide capsule encounter as has  
287 also been described (56). The total plasmablast expansion, but not 6B plasmablast expansion, was  
288 characterized by a decreased proportion of CCR10<sup>+</sup> cells, suggesting a preferential expansion of  
289 CCR10<sup>-</sup> cells or a downregulation of this marker. The correlation between low numbers of cells in  
290 specific nasal B cell clusters with increased levels of circulating plasmablasts indicates that activation  
291 of nasal B cells during carriage led to B cell re-circulation. In particular numbers of B cell cluster 9,  
292 which was lower in carriage<sup>+</sup> subjects, associated with 6B PS-specific plasmablasts, as well as with  
293 levels of pneumococcus-specific antibodies in serum. Indeed, trafficking of memory B cells between  
294 airways and blood has been reported (57).

295 Levels of serum IgG against Hi increased following colonization with Spn, due to an induction of  
296 cross-reactive antibodies, as pre-absorption with Spn abrogated this increase. Thus, pneumococcal  
297 colonization has an effect on mucosal and systemic B cell populations and antibodies that bind both  
298 pneumococcus and Hi. The negative association between Spn and Hi in the human nasopharynx is  
299 well described and this observation could be added to the potential mechanisms that underlie this  
300 interaction, such as Hi-mediated recruitment of neutrophils and clearance of pneumococcus (58). B

301 cells express the innate receptors TLR2 and TLR4 (59), which can be activated by pneumococcus,  
302 and we thus hypothesized that pneumococcal carriage leads to non-specific activation of B cells.  
303 *Neisseria lactamica* has been previously demonstrated to be able to aspecifically activate innate B  
304 cells (60, 61). However, the increased antibody responses against Hi were likely due to induction of  
305 cross-reactive antibodies and we observed no increase in serum IgG levels against *Streptococcus*  
306 *pyogenes* or *Staphylococcus aureus*, two other common nasal colonizers.

307 Several nasal CD8<sup>+</sup> Trm cell clusters were higher in subjects protected from Spn carriage. These  
308 cells were previously found to be protective against influenza infection in murine models (62). Spn  
309 is classically thought of as an extracellular bacterium and therefore the role of CD8<sup>+</sup> T cells in  
310 controlling Spn has not been extensively studied in humans. However, it was recently shown that  
311 Spn can replicate within splenic macrophages and can reside within epithelial cells, suggesting that  
312 CD8<sup>+</sup> T cell immunity could be elicited by Spn and play a role in protection against Spn carriage or  
313 disease (63, 64). Indeed, Spn protein specific CD8<sup>+</sup> T cells could be readily detected in blood of  
314 Gambian adults (65). In murine models, CD8<sup>+</sup> T cells were found to be protective against Spn lung  
315 infection but did not have an effect on nasopharyngeal carriage (19, 66).

316 We found here that CD8<sup>+</sup> MAIT cell functionality before pneumococcal challenge associated with a  
317 resistance to carriage acquisition. MAIT cells were recently reported to be able to recognize Spn  
318 through MHC class I-related protein 1 (MR-1) dependent and independent pathways (67). MAIT cells  
319 were previously found to be important in the protection against lung bacterial and viral infections via  
320 direct and indirect responses (68). Our findings now suggest these cells could also protect against  
321 nasopharyngeal Spn colonization. Given the abundance of MAIT cells at the nasal mucosa and their  
322 specificity for precursors from the riboflavin synthesis pathway, which is highly conserved in the Spn  
323 genome (67), these cells are excellently placed to initiate an immune response upon exposure to  
324 Spn. The rapid production of cytokines as TNF and IFN- $\gamma$  by these cells upon Spn encounter could  
325 lead to the recruitment or activation of neutrophils and monocytes, which in turn could phagocytose  
326 Spn and protect against carriage acquisition (69). Baseline MAIT functionality in blood positively

327 correlated with cell numbers within one of the nasal CD8<sup>+</sup> CD161<sup>+</sup> cell clusters, suggesting trafficking  
328 of MAIT cells from the blood to the nose upon pneumococcal encounter. Indeed, MAIT cells have  
329 been shown to be depleted from the circulation and accumulate in tissues upon infection (70, 71).

330 One limitation of this study is that the number of granulocytes measured was very low due to the  
331 overnight resting step following enzymatic digestion. While this resting step allowed for the return of  
332 markers that were cleaved by the enzymatic digestion, neutrophils quickly become apoptotic after  
333 being removed from the body (72-74). Consequently, the characterization of granulocytes reported  
334 here is incomplete and we were not able to assess whether specific neutrophil subsets are  
335 associated with protection against pneumococcal colonization.

336 In addition, due to the invasiveness of sample acquisition, sample size was limited and we were not  
337 able to characterize nasal biopsies at various time points. Thus, no baseline was available making  
338 it impossible to conclude whether differences between carriage<sup>-</sup> and carriage<sup>+</sup> groups were present  
339 at baseline or occurred in the ten days following inoculation. In addition, we were not able to assess  
340 transient responses early after bacterial inoculation. To address this caveat, we longitudinally  
341 measured levels of nasal B cells and MAIT cells collected by nasal curettes in independent cohorts.  
342 This analysis demonstrated that B cells were depleted from the nose upon colonization. In contrast,  
343 we did not observe any changes in total numbers of MAIT cells. Using CyTOF we observed that  
344 MAIT cell clusters expressing the markers CD7, CD69, CD5 and CCR6 or CD38, but not total  
345 number of MAIT cells, were higher in the carriage<sup>-</sup> group. Thus the larger amount of cells obtained  
346 from nasal biopsies combined with the broad analysis by CyTOF allowed us to identify sub-  
347 populations of MAIT cells associated with protection against colonization.

348 This study revealed some notable differences from previously conducted experiments with murine  
349 models. In particular, we did not see any association between Th17 cells, or any CD4<sup>+</sup> T memory  
350 cells, and control of colonization as previously reported (15, 16). This agrees with previous  
351 observations from experimentally colonized adults that nasal IL-17A levels are not increased (24).  
352 Moreover, there was no association between monocytes and Spn colonization status or density,

353 unlike what was previously reported in murine models or humans followed up longitudinally following  
354 experimental colonization (16, 20, 24). This is possibly because we terminated carriage after day  
355 seven for safety reasons prior to collecting biopsies, making it impossible to associate immune cell  
356 clusters, such as monocytes, with Spn clearance which typically occurs after day 10. Moreover due  
357 to relatively small numbers of individuals in this controlled human infection study, it is possible that  
358 subtle associations between cell populations and bacterial load were missed. This study however  
359 does provide a unique characterization of monocytes/macrophages phenotype in the human nose,  
360 which show remarkably little differentiation into macrophages. Another remarkable difference  
361 between this study and findings from murine models is that we observed a protective effect of MAIT  
362 cells against colonization, while depletion of CD8<sup>+</sup> T did not affect immunological Spn control in  
363 mouse models (19). MAIT cells are a recently identified T cell subset that is common in humans,  
364 consisting of up to 10% of all T cells in the circulation, but that is very rare in mice (68). It is possible  
365 that this difference has led to an underappreciation of the CD8<sup>+</sup> T cell's role in protection against  
366 pneumococcal carriage in humans. Finally, our finding of an activation and exodus of B cells from  
367 the nose merits further attention and validation using tractable mouse models to understand its role  
368 in the generation of humoral immunity against Spn and cross-reactive protection against  
369 *Haemophilus influenzae*.

370 In conclusion, this study provides both a broad and an in-depth view of the adult human nasal  
371 immune system in the setting of experimental human pneumococcal challenge. Nasal B cells were  
372 depleted following carriage establishment, likely due to differentiation to plasmablasts and  
373 recirculation. In addition, CD8<sup>+</sup> MAIT cell responses were associated with protection from Spn  
374 carriage.

375 **Methods**

376 **Study design and sample collection**

377 Healthy adult subjects were screened for the presence of natural pneumococcal carriage in nasal  
378 wash samples (NW) using classical microbiology (7, 34, 75). Subjects not naturally carrying

379 pneumococcus were then inoculated with 80,000 CFU per nostril of 6B type Spn as described (7,  
380 75). Development of nasal carriage was monitored using NW samples collected at days 2 and 7 post  
381 inoculation. Growth of pneumococcus from NW samples at any time-point defined carriage positive  
382 volunteers. All subjects then received a three-day course of amoxicillin and underwent a 4mm nasal  
383 biopsy at day 10 post inoculation. The nasal cavity was first sprayed up to six times with lidocaine  
384 hydrochloride 5% with phenylephrine hydrochloride 0.5%. Five to ten minutes later the infero-medial  
385 part of the inferior turbinate, i.e. the point of incision, was injected with up to 1 mL of lidocaine  
386 hydrochloride 2% with adrenaline 1:80 000. An incision of approximately 5 mm with No.15 blade was  
387 then made and 2-4 mm of mucosal tissue was removed with Tillies Henckle's surgical forceps. This  
388 study was registered under ISRCTN85509051. Nasal curettes (ASL Rhino-Pro®, Arlington  
389 Scientific) were collected from an additional cohort (ISRCTN16993271) of subjects inoculated with  
390 the same 6B strain. The outcomes reported in this manuscript were a priori included in the study  
391 protocols.

392 **Nasal biopsy digestion**

393 Nasal biopsies were finely cut using a sterile scalpel size 11 (Fisher Scientific). Pieces were then  
394 incubated in 20mL pre-warmed RPMI 1640 (Fisher Scientific) with Liberase TL (250µg/mL, Sigma)  
395 and DNase I (50µg/mL, Sigma). Fragments were incubated for 45 minutes at 37°C, while shaking  
396 at 250rpm at a 10° angle. At the end of the digestion, biopsies were passed five times through a 16-  
397 gauge blunt-ended needle (Fisher Scientific) and the digested sample was filtered over a 70um filter  
398 (Fisher Scientific). This process was repeated for any remaining fragments. Cell were spun down for  
399 10 minutes at 400xg and then red blood cells were lysed using an osmotic lysis buffer. Cells were  
400 washed with RPMI with 20% heat-inactivated fetal bovine serum (FBS, Fisher Scientific),  
401 resuspended at 10<sup>6</sup> cells/mL in RPMI with 20% FBS and rested overnight. The next day, cells were  
402 counted and washed with RPMI + 10% FBS. Cells were stained as a viability marker using 1µM  
403 intercalator Rh-103 (Fluidigm) for 15 minutes, washed and fixed with 1.8% paraformaldehyde



404 (Sigma) for 15 minutes. Cells were washed and stored in liquid nitrogen in CTL-Cryo™ ABC media  
405 (Cellular Technology Limited) until CyTOF barcoding and staining.

#### 406 **Mass cytometry staining and analysis**

407 Nasal biopsy cells were thawed on ice and barcoded using the Cell-ID 20-plex Pd Barcoding Kit as  
408 per manufacturer's instructions (Fluidigm). The effect of fixation on epitopes detected by the included  
409 antibody clones was tested using PBMCs and monocyte-derived dendritic cells. Following three  
410 washes with staining buffer (Fluidigm) and 10 minutes of FcR blocking (Biolegend) pooled cells were  
411 stained for 45 minutes at room temperature with the antibody cocktail (Supplementary Table 1). All  
412 Fluidigm antibodies were pre-conjugated to metals while all other antibodies were conjugated using  
413 a total of 100 µg of purified antibody combined with the MaxPar X8 Antibody Labelling Kit (Fluidigm)  
414 according to manufacturer's protocol V7 and stored in 200 µL Antibody Stabilizer PBS (Candor  
415 Bioscience) at 4°C. Cells were washed twice with staining buffer and incubated for 1 hour with 1000x  
416 diluted 125 µM Cell-ID intercalator-Ir (Fluidigm) to stain DNA. Cells were washed 3 times with  
417 staining buffer and 2 times with de-ionized H<sub>2</sub>O prior to addition of normalization beads (Fluidigm)  
418 and acquisition on a Helios 2 mass cytometer (DVS Sciences). CyTOF Fcs files were normalized  
419 using the included beads, concatenated and debarcoded as per manufacturer's instructions. The  
420 debarcoding step leads to a removal of doublets (76). Then, viable immune cells were pre-gated  
421 (Figure 2) and exported as .fcs files using Flowjo X (Treestar). These were further analysed using  
422 Cytosplore (<https://www.cytosplore.org/>).

#### 423 **Nasal B and MAIT cell phenotyping**

424 Immunophenotyping of nasal B and MAIT cells obtained by curettes was performed as described  
425 (29). In brief, cells were dislodged from curettes and stained with LIVE/DEAD® Fixable Aqua Dead  
426 Cell Stain (ThermoFisher) and an antibody cocktail containing among others Epcam-PE, HLADR-  
427 PECy7, CD66b-FITC, CD19-BV650 (all Biolegend), CD3-APCCy7, CD14-PercpCy5.5 (BD  
428 Biosciences) and CD45-PACOrange (ThermoFisher) for B cells, while the cocktail for MAIT cells  
429 included also CD8-BV785 and TCRva7.2-BV711 or TCRva7.2-PE-TxsRed and CD45-BV510

430 (Biolegend). Samples were acquired on a LSRII flow cytometer and analysed using Flowjo X  
431 (Treestar). Fluorescent minus one controls for each of the included antibodies were used to validate  
432 results during set-up of all of the panels used. Samples with less than 500 immune cells or 250  
433 epithelial cells (11.9% of all nasal samples) were excluded from further analysis. A full list of all  
434 antibodies used for flow cytometry is provided in Supplementary Table 2).

#### 435 **Intracellular cytokine staining following PMA/Ionomycin or pneumococcus stimulation**

436 For intracellular cytokine staining after PMA and Ionomycin stimulation, fresh nasal biopsy cells or  
437 PBMC were stimulated with 100 and 500 ng/mL of these, respectively. After 2 hours, Golgiplug™  
438 (BD Biosciences) was added and cells were incubated for another 16 hours. Cells were washed and  
439 stained extracellularly with LIVE/DEAD® Fixable Violet Dead Cell Stain (ThermoFisher) for 15  
440 minutes and then for another 15 minutes with CD161-APC, CD69-BV650, CD25-PEDazzle594,  
441 CD103-BV605, CD4-PercpCy5.5, CD8-AF700, TCRvα7.2-BV785 (all Biolegend) and CD3-APH7  
442 and TCRgd-PECy7 (BD Biosciences). Cells were then permeabilized using the eBioscience™ Foxp3  
443 Transcription Factor Staining Buffer Set (Fisher Scientific) following the manufacturer's protocol.  
444 Intracellular staining was done for 30 minutes with FOXP3-AF488, IFNγ-PE, TNFα-BV711  
445 (Biolegend) and IL17A-BV510 (BD Biosciences). Finally, cells were washed, resuspended in 200μL  
446 PBS and acquired on a LSR2.

447 For staining with pneumococcus, PBMC were thawed with 50μg/mL DNase I (Sigma) in pre-warmed  
448 RPMI + 10% FBS and washed twice, once in media including DNase I and once in media without  
449 DNase I. Cells were rested overnight and then cultured at  $5 \times 10^5$  cells in 500uL media with 5ug/mL  
450 (corresponding to  $4.3 \times 10^7$  CFU/mL) heat-inactivated type 6B *Streptococcus pneumoniae* or left  
451 unstimulated as a control. After 48 hours, fresh antigen was added to the cells and 2 hours later  
452 Golgiplug was added and cells were treated as above.

#### 453 **Pneumococcal-specific B cell detection**

Purified pneumococcal polysaccharides 6B and 15B (Oxford Biosystems) and Pdb were diluted to 100µg/mL in purified H<sub>2</sub>O and biotinylated using the One-Step Antibody Biotinylation Kit (Miltényi) as per manufacturer's instructions. Biotinylated proteins were then 2x dialysed for 45 minutes against 1L PBS using Slide-A-Lyzer™ MINI Dialysis Device, 3500 molecular weight cut off (ThermoFisher) and stored at 4°C until labelling. Biotinylated 15B, 6B and Pdb were then mixed in a 4:1 molecular ratio (Pdb), or a 1:1 molecular ratio (polysaccharides), with PE-streptavidin, BV785-streptavidin or FITC-streptavidin (Biolegend), respectively. Incubation was performed on ice in a stepwise approach where 1/10 fraction of streptavidin conjugate was added to the antigen followed by a ten-minute incubation. After the final incubation, 1 pmol free biotin was added and the mixture was incubated for 30 minutes on ice. Labelled antigens were stored at 4°C and used within two weeks.

To stain cells, PBMC were thawed with 50µg/mL DNase I (Sigma) in pre-warmed RPMI + 10% FBS and washed once in media including DNase I. Cells were then resuspended in PBS containing LIVE/DEAD® Fixable Violet Dead Cell Stain (ThermoFisher) with 10µg/mL purified streptavidin (to block aspecific binding, Biolegend) for 15 minutes. Then labelled antigens and an antibody cocktail containing CD71-AF700 (BD Biosciences), CD19-BV605, CD27-PE/Cy7, CD38-APC/Cy7, CD69-BV510 and CCR10-APC (all Biolegend) was added and cells were incubated for another 15 minutes. Finally, cells were washed, resuspended in 200µL PBS and acquired on a LSR2.

## **Immunohistochemistry**

A nasal biopsy was fixed in 4% PFA for 16-24 hours before rinsing in 50% and 70% ethanol. This was embedded in Paraffin, cut into 4µm sections, dewaxed, subjected to antigen retrieval (95°C for 15 minutes in Sodium Citrate Buffer (pH 6) and processed for immunohistochemistry as published (77). In short, sections were permeabilised in methanol for 15 minutes with 1% hydrogen peroxide. After rinsing in PBS, primary antibodies were diluted in goat (or horse) serum buffer (1% BSA, 4% goat (or horse) serum, 0.01% sodium azide in PBS). Primary antibodies used were: CD3 (Dako), CD4, CD20, CD66b, CD68, CD11b (Abcam), CD8 (Epitomics) and CD161 (Atlas antibodies), which were applied over night at 4°C (Supplementary table 3). Sections were rinsed in PBS and secondary

480 biotinylated antibodies (Vector lab) were applied for 45 mins at RT. Slides were rinsed and a complex  
481 of avidin and biotin (ABC) solution was added to sections for 60 minutes which was prepared 30  
482 minutes prior incubation After rinsing, NovaRed™ (Vector®, Burlingame, CA, U.S.A) chromogen  
483 was prepared to manufacturer's instructions. Sections were counterstained, dehydrated, placed in  
484 xylene and mounted for microscopy and scanned using the nanozoomer digital pathology (NDP,  
485 Hamamatsu, Photonics KK). Pictures were processed using the NDPview 2 software (version 2.6.13;  
486 Hamamatsu Photonics KK).

## 487 **ELISA**

488 Serum IgG and IgA titres against *Streptococcus pneumoniae*, *Streptococcus pyogenes*,  
489 *Staphylococcus aureus* and *Haemophilus influenzae* were quantified in serum samples, whereas  
490 nasal mucosa IgG and IgA titres against *Streptococcus pneumoniae* and *Haemophilus influenzae*  
491 were measured in nasal wash samples using whole cell ELISA. The ELISA was performed on  
492 MaxiSorp™ 96 well plates (Nunc). Per pathogen, 100µL of 10<sup>8</sup> CFU/mL was prepared in carbonate  
493 buffer pH 8, added to the plates and allowed to adhere to the wells for 16 hours at 22°C. Then the  
494 plates were washed three times using phosphate buffered saline (PBS) containing 0.05% Tween 20,  
495 followed by blocking by adding 100 µL of PBS containing 2% Bovine serum albumin. Plates were  
496 incubated at 37°C for 1 hour and were washed before adding serial dilutions of serum samples. For  
497 detection of IgG and IgA, a 1:5000 and 1:4000 dilution of anti-human-IgG (Sigma, A9544, Germany)  
498 and anti-human-IgA (Sigma, A9669, Germany), respectively, was made using 0.1% BSA and 100  
499 µL added to each well after washing and incubated at room temperature for 2 hours. Standard curves  
500 for IgG and IgA were generated based on a standard pool serum (sera of 7 Spn carriers collected at  
501 D23 post challenge). Arbitrary units of IgG and IgA were assigned to the serum standard for each  
502 pathogen. To absorb antibodies cross-reactive against *S. pneumoniae*, pneumococci were added in  
503 the samples, following 2h incubation at RT and overnight incubation on a rotor at 40 C. The next day  
504 samples were centrifuged at 4,000g for 3min and supernatant was collected and measured as  
505 above. Efficacy of depletion was confirmed by ELISA against Spn post absorption.

506     **Statistics**

507     Two-tailed, non-parametric statistical tests were used throughout the study. The number of cells in  
508     a cluster for each subject was normalized against the total number of non-immune cells acquired by  
509     CyTOF for that subject to account for number of cells isolated from a given biopsy. This normalization  
510     strategy has the advantage that the normalized frequencies of cells in a cluster is not dependent on  
511     other clusters, which is a major disadvantage of normalizing against total immune cells. Normalized  
512     cluster abundances were then compared between carriage<sup>-</sup> and carriage<sup>+</sup> subjects for each of the  
513     clusters using the Mann-Whitney test, without correcting for multiple testing. Data was analysed and  
514     graphs were created using ‘pheatmap’ and ‘ggplot2’ packages in R software and circular graph  
515     (Figure 1C) was created using circo software (78). The graphical abstract was created with  
516     BioRender

517     **Data availability**

518     Normalized and debarcoded CyTOF fcs files have been deposited in the FlowRepository with  
519     identifier FR-FCM-ZYSE (<https://flowrepository.org/>).

520     **Study Approval**

521     All subjects gave written informed consent and research was conducted in compliance with all  
522     relevant ethical regulations. Ethical approval was given by the East Liverpool NHS Research and  
523     Ethics Committee (REC), reference numbers: 17/NW/0029 and 14/NW/1460.

524 **Author contributions**

525 SJ contributed to conceiving, designing, conducting and analysing experiments, design of the study  
526 and writing of the paper. KR, CS, AV contributed to designing, conducting and analysing  
527 experiments. SG, LL, JRylance, AC, SL contributed to conceiving and designing the study. EM, EN,  
528 BC, AS, SP, EG, JReine, CW and PD contributed to conducting and analysing experiments. HH,  
529 RR, AHW, SL and MW contributed to sample collection. RSH, HS, BU and MY contributed to  
530 designing and analysing experiments. DF contributed to conceiving, designing and analysing  
531 experiments, design of the study and writing of the paper. All authors have read and approved the  
532 manuscript. The authorship order between shared first and shared senior authors was decided  
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Figure and figure legends

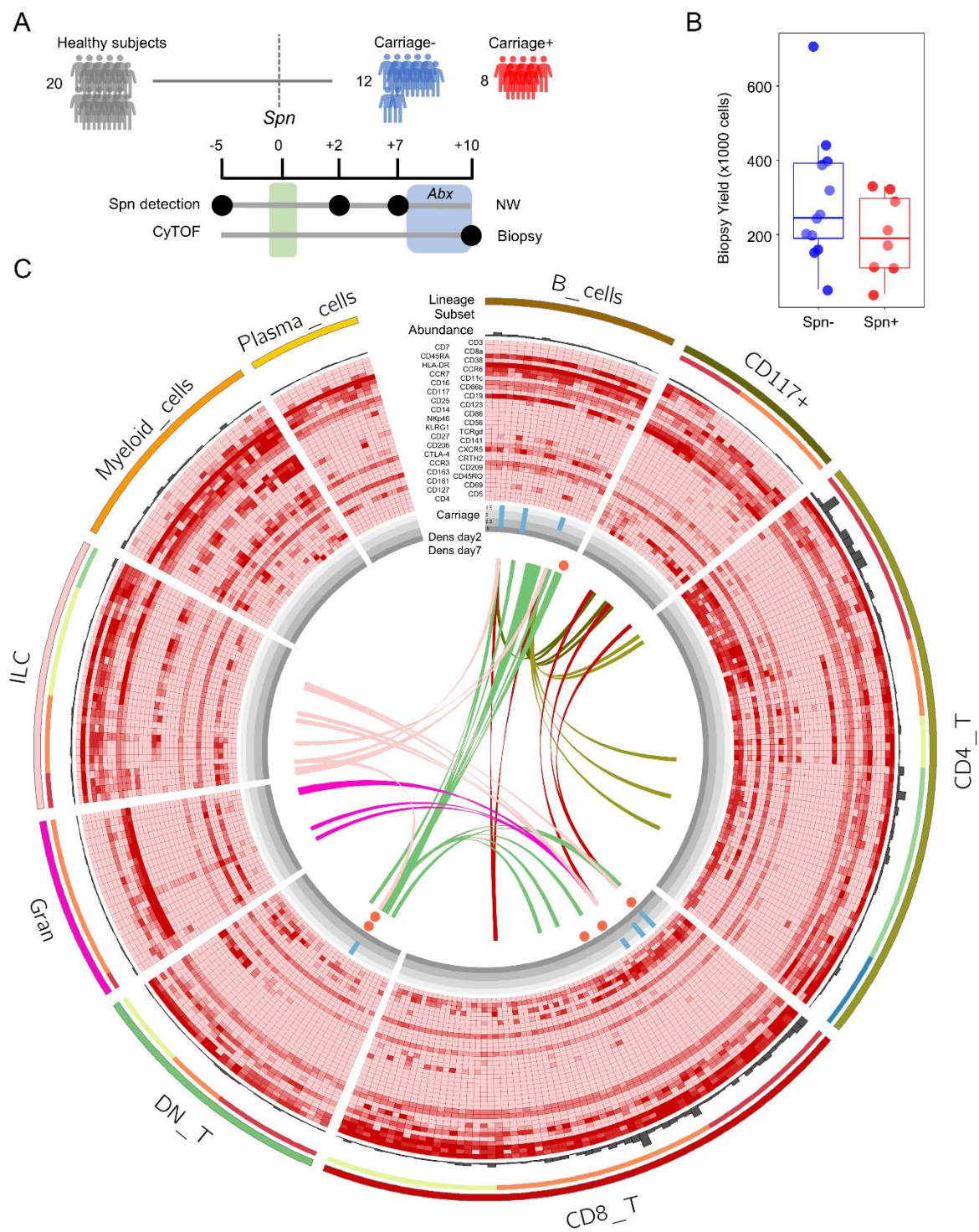


Figure 1. Mass cytometry from nasal biopsies following experimental human pneumococcal challenge. A) Study design showing pneumococcal inoculation (green bar) and sample collection.

Subjects who acquired pneumococcus following challenge are depicted in red (n=8), while those protected are depicted in blue (n=12). Antibiotics (abx) were administered in the 3 days leading up to biopsy collection (blue area). B) Viable cell yield following enzymatic biopsy digestion for the twenty biopsies collected for CyTOF. Individual samples and boxplots, depicting median and interquartile ranges, with whiskers extending to 1.5× interquartile range or maximum value, are shown. C) Circle diagram showing all 293 defined clusters within 9 lineages and 22 subpopulations. From outside in: number of cells in each cluster is depicted by grey bars. Relative expression for 36 markers is shown with red depicting higher expression (CD45 and Epcam are not depicted). Association with carriage state is shown, where blue bars depict the fold-change of the median of normalized abundance in carriage<sup>-</sup> subjects over carriage<sup>+</sup> subjects (Mann-Whitney test,  $p < 0.05$  shown only). Significant correlations between Spn density at either day 2 or day 7 with normalized abundance are depicted by circles, with red indicating a negative association. Spearman correlation analyses were performed with all subjects where carriage<sup>-</sup> subjects were included with a density of 0. Ribbons connect highly correlated ( $r > 0.70$ ) clusters that were associated with Spn carriage status not belonging to the same lineage, with colour indicating the lineage of origin. DN\_T = double negative T cells, Gran = granulocytes, ILC = innate lymphoid cells.



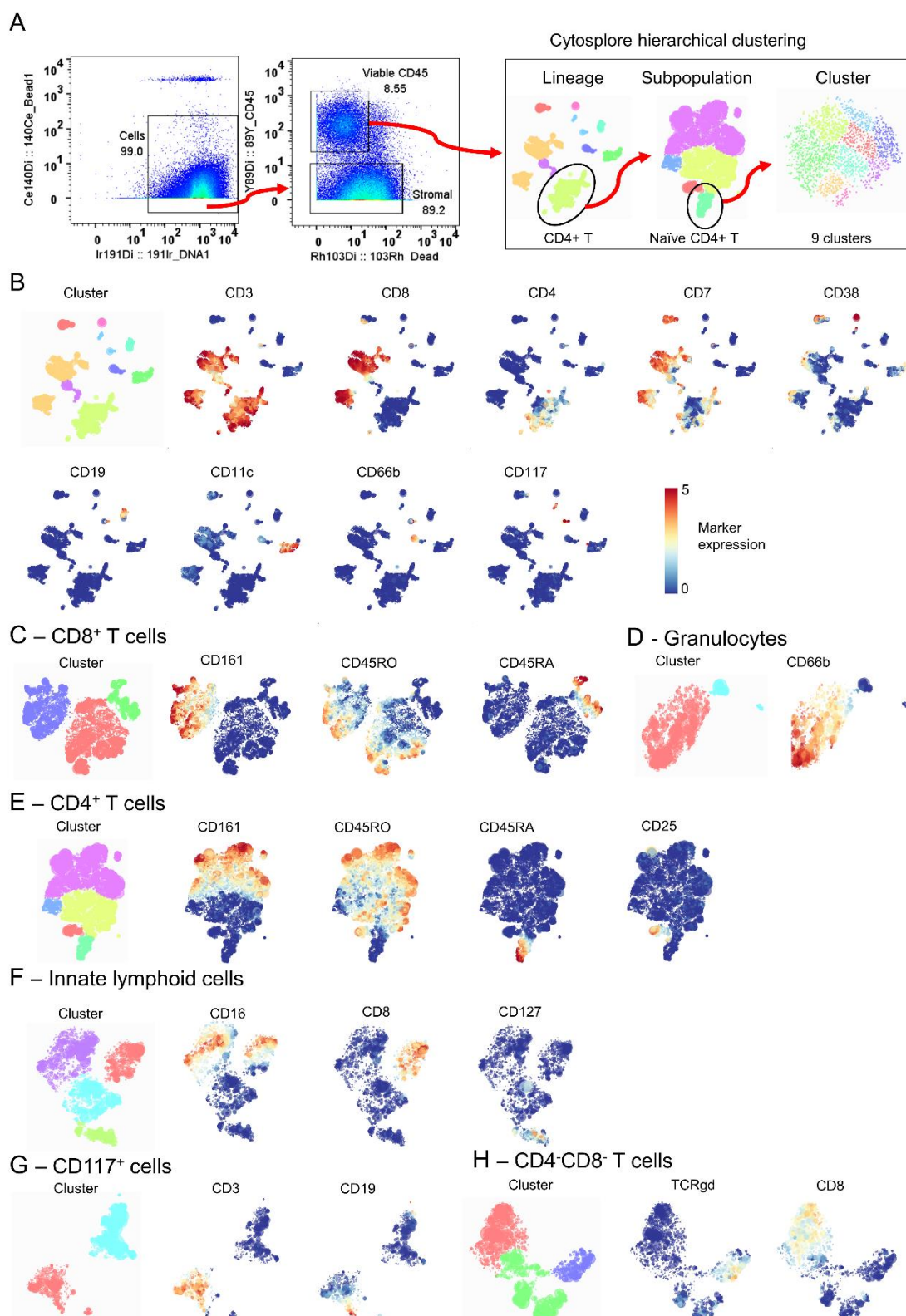
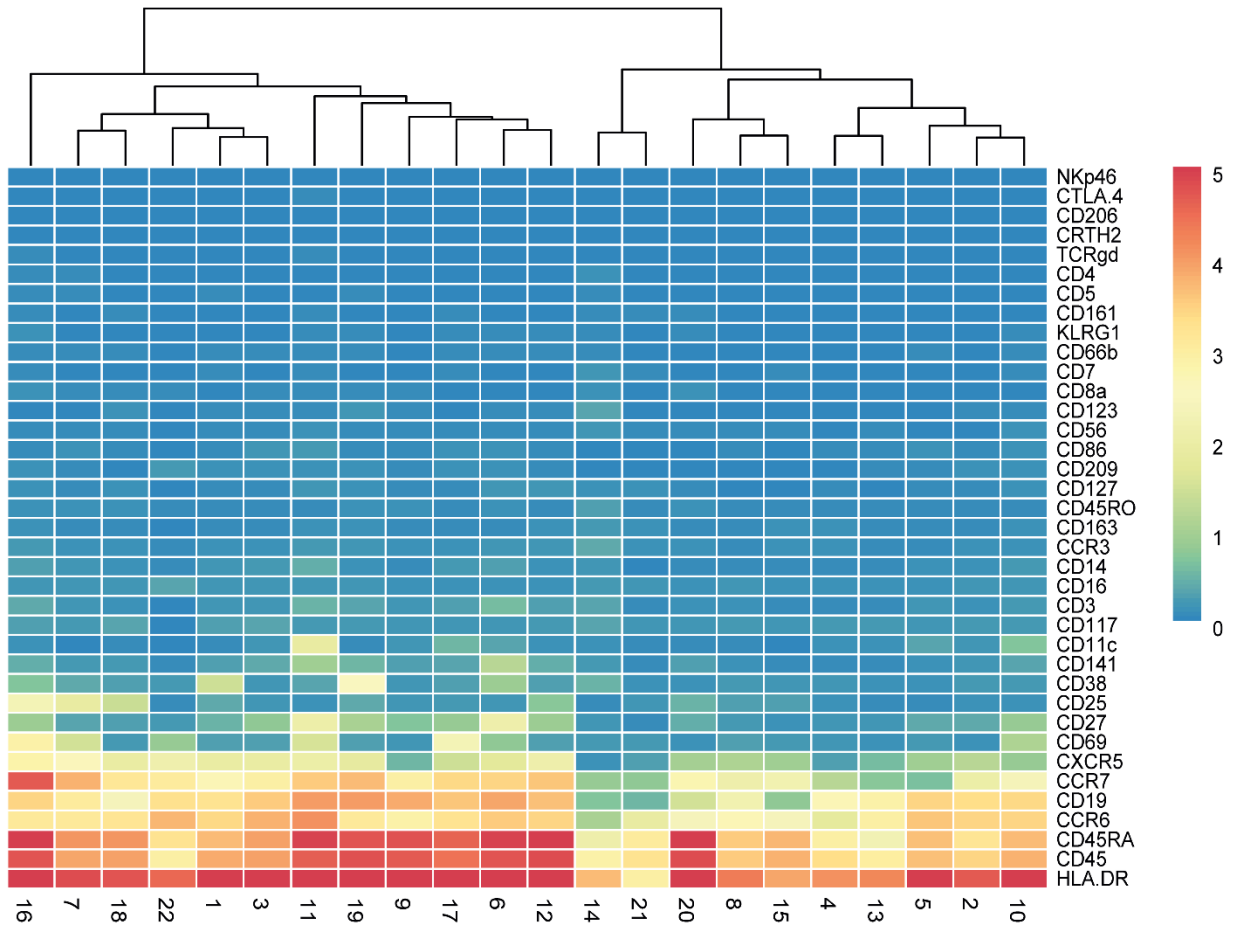


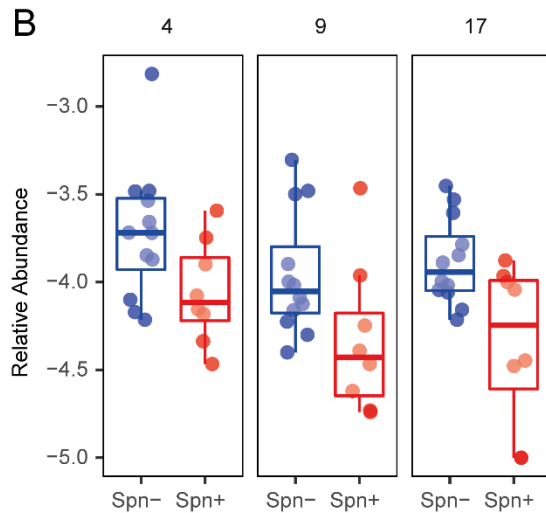
Figure 2. CyTOF analysis strategy. A) CyTOF data files were pre-gated using Flowjo to identify cells (DNA<sup>+</sup> Bead<sup>-</sup>), followed by selecting viable immune cells (CD45<sup>+</sup> Dead<sup>-</sup>). These cells were exported

and loaded in Cytosplore for hierarchical stochastic neighbour embedding (h-sne), in which lineages, subpopulations and clusters were sequentially identified in three steps. Gating for naïve CD4<sup>+</sup> T cells is shown as an example. B) Cells were clustered using all 38 markers minus the epithelial marker Epcam and lineages were then defined based on the expression of nine markers. Clustered lineages and expression of included markers are shown. Subpopulations for C) CD8<sup>+</sup> T cells, D) granulocytes, E) CD4<sup>+</sup> T cells, F) innate lymphoid cells, G) CD117<sup>+</sup> cells and H) double-negative T cells were defined based on the expression of the depicted markers. B cells, plasma cells, myeloid cells were not further divided into subpopulations due to lack of clear clustering by relevant markers. Cell subpopulations were then further divided into clusters using all 38 markers minus the epithelial marker Epcam.

A



B



C

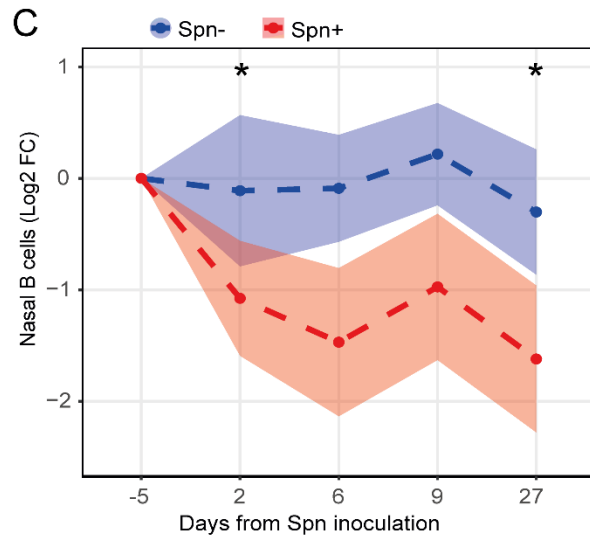


Figure 3. Nasal B cells are depleted following pneumococcal carriage. A) Heatmap showing the expression of thirty-seven markers for all B cell clusters. Clusters were ordered based on similarity



and a distance dendrogram is depicted. B) The relative abundance for each of the three significantly higher clusters normalized to stromal cells is expressed on a  $\log_{10}$  scale for carriage<sup>-</sup> (Spn<sup>-</sup>, blue, n=12) and carriage<sup>+</sup> (Spn<sup>+</sup>, red, n=8) subjects. Boxplots, depicting median and interquartile ranges, with whiskers extending to 1.5× interquartile range or maximum value, and individual subjects are shown. C) Levels of CD19<sup>+</sup> nasal B cells longitudinally measured by flow cytometry from minimally-invasive nasal curettes in an independent cohort for carriage<sup>-</sup> (Spn<sup>-</sup>, blue, n=52) and carriage<sup>+</sup> (Spn<sup>+</sup>, red, n= 42) subjects. Mean and standard error of mean of log2-transformed fold change levels to baseline are shown. \*  $p < 0.05$  by Wilcoxon test comparing to baseline with Bonferroni correction for comparing multiple timepoints.

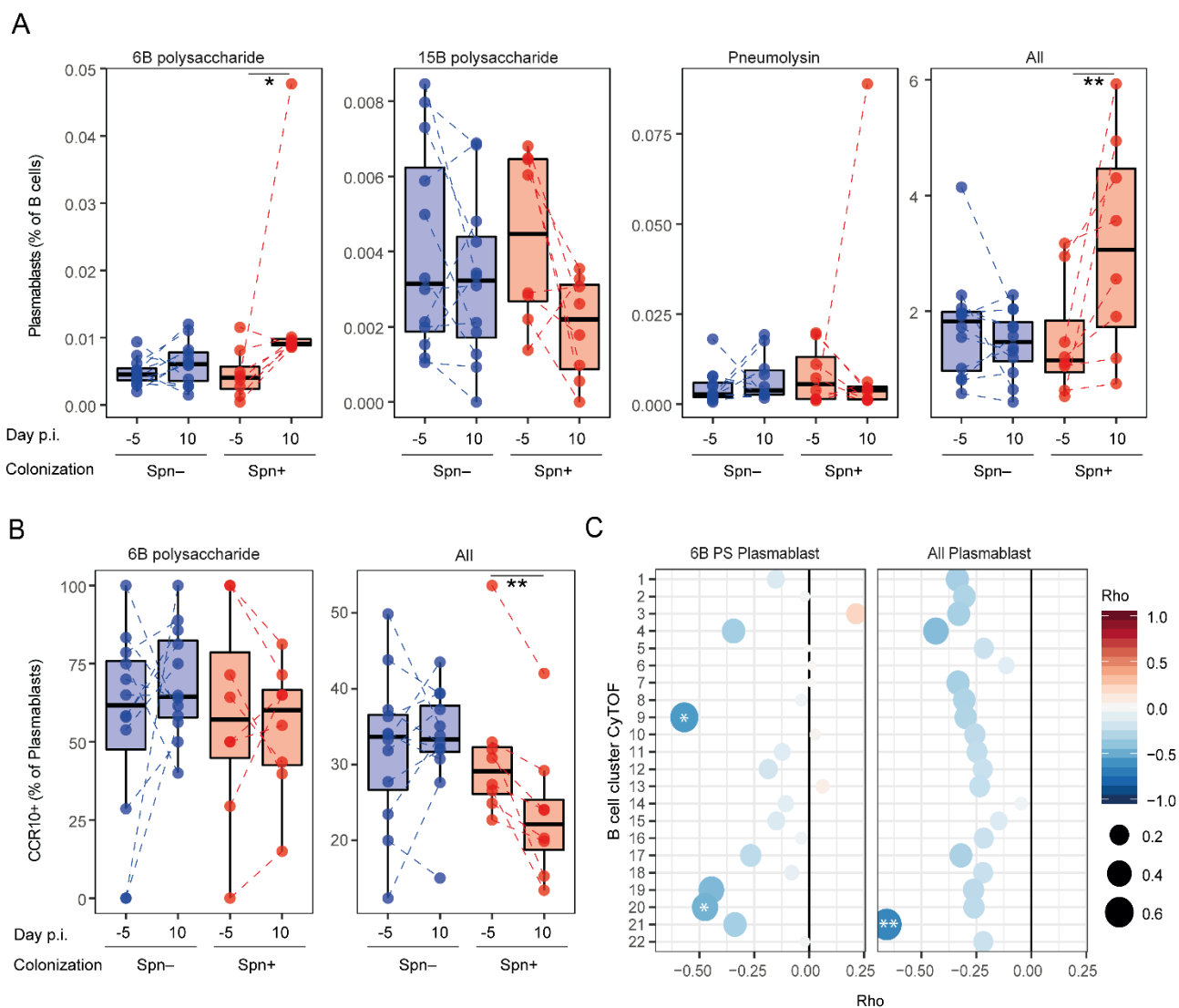
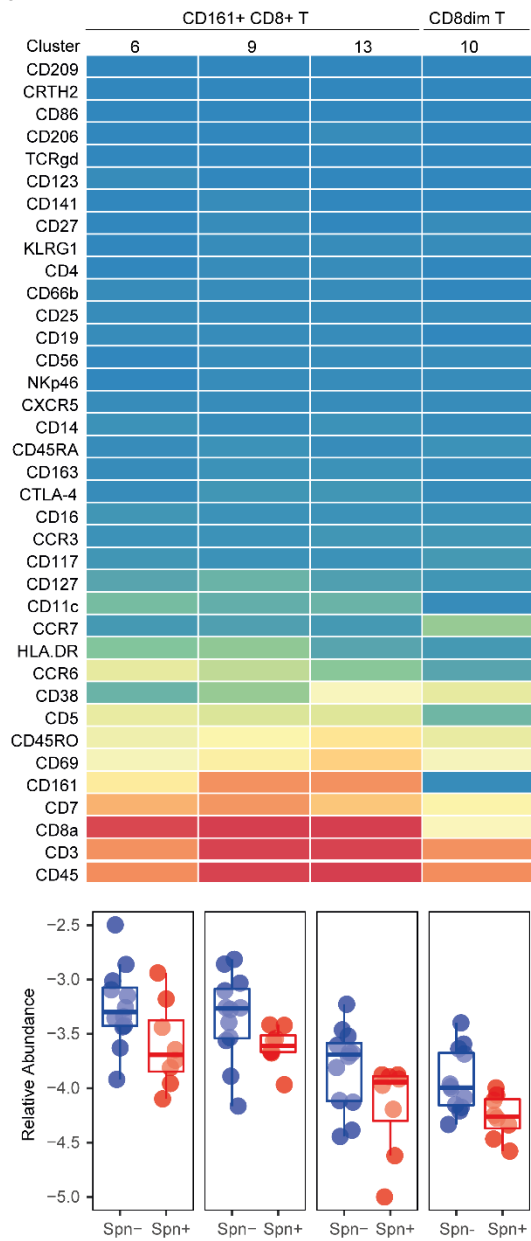


Figure 4. Pneumococcal carriage leads to increased systemic plasmablasts. A) Levels of 6B polysaccharide-specific, 15B polysaccharide-specific, Pneumolysin derivative b (Pneumolysin)-specific or all plasmablast amongst total B cells were measured from PBMC collected at baseline (Day -5) and at the time of biopsy (Day 10 post inoculation). Boxplots depicting median and interquartile ranges, with whiskers extending to 1.5x interquartile range or maximum value, and individual subjects are shown with carriage<sup>-</sup> in blue (n=12) and carriage<sup>+</sup> in red (n=8). Paired samples are connected by dashed lines. \*  $p < 0.05$ , \*\*  $p < 0.01$  by Wilcoxon test comparing a group to its baseline. B) Levels of CCR10<sup>+</sup> plasmablasts for 6B-specific and total plasmablasts measured from PBMC collected at baseline (Day -5) and at the time of biopsy (Day 10 post inoculation). Boxplots and individual subjects are depicted with carriage<sup>-</sup> in blue and carriage<sup>+</sup> in red with paired

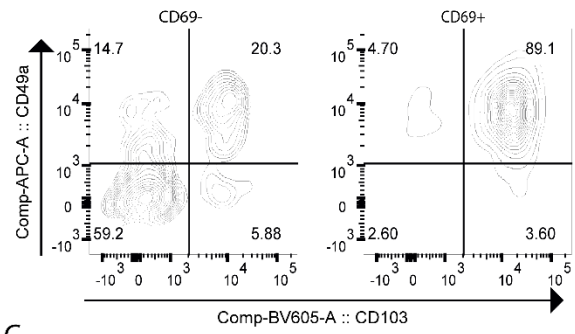
samples connected by dashed lines. \*\*  $p < 0.01$  by Wilcoxon test comparing a group to its baseline.

C) Correlations between fold-change in levels of 6B PS-specific and total plasmablasts between baseline and day 10 against levels of B cell clusters measured by CyTOF. Color and size of symbols reflect the Spearman rho value. \*  $p < 0.05$  and \*\*  $p < 0.01$  by Spearman test.

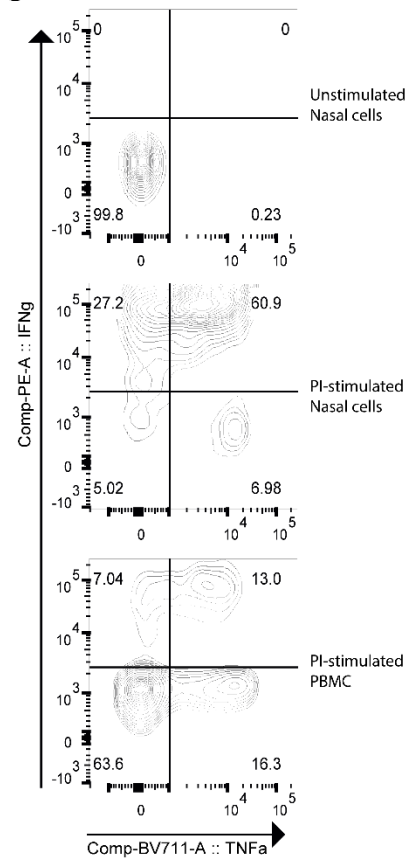
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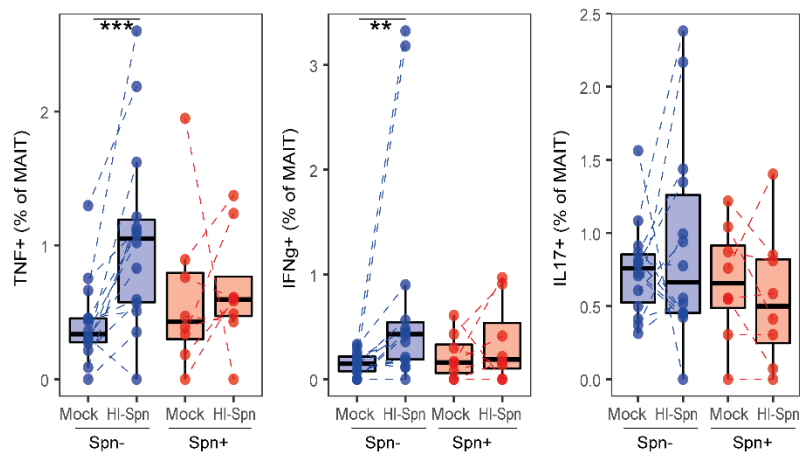
B



C



D



E

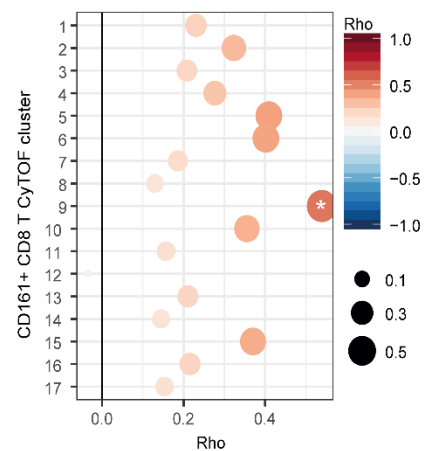


Figure 5. Increased MAIT responses associate with protection from carriage. A) Heatmap showing the expression of thirty-seven markers for each of the four CD8<sup>+</sup> clusters that were significantly different between carriers and non-carriers. Non-significant CD8<sup>+</sup> T clusters are not shown. Below the heatmap, the abundance for each of the significantly higher clusters normalized to stromal cells is expressed on a log<sub>10</sub> scale for carriage<sup>-</sup> (blue) and carriage<sup>+</sup> (red) subjects. Boxplots depicting median and interquartile ranges, with whiskers extending to 1.5× interquartile range or maximum value, and individual subjects are depicted. B) Representative flow cytometry contour plot of CD8<sup>+</sup> CD69<sup>+</sup> and CD8<sup>+</sup> CD69<sup>-</sup> T cells, showing CD103 and CD49a tissue resident marker expression on nasal biopsy cells (n=4). C) Representative flow cytometry contour plot of unstimulated nasal biopsy cells, and nasal biopsy cells and PBMC stimulated overnight with PMA and ionomycin (PI) to assess functional capacity (n=4). D) TNF, IFN- $\gamma$  and IL-17A production by CD8<sup>+</sup> MAIT cells (CD161<sup>+</sup>TCR $\alpha$ 7.2<sup>+</sup>) after 3-day in vitro stimulation with heat-inactivated pneumococcus (HI-Spn) or left unstimulated for carriage<sup>-</sup> (blue, n=14) and carriage<sup>+</sup> (red, n=8) subjects in PBMC collected at baseline. Boxplots and individual subjects, connected by dashed lines, are shown. \*\* p < 0.01 by Wilcoxon test, \*\*\* p < 0.001 by Wilcoxon test. E) Correlations between the difference in cytokine production (total of TNF and IFN- $\gamma$ ) by MAIT cells in vitro stimulated with HI-Spn or left unstimulated against CD8<sup>+</sup> CD161<sup>+</sup> T cell clusters measured by CyTOF (n=20). Colour and size of symbols reflect the Spearman rho value. \* p < 0.05 by Spearman test.

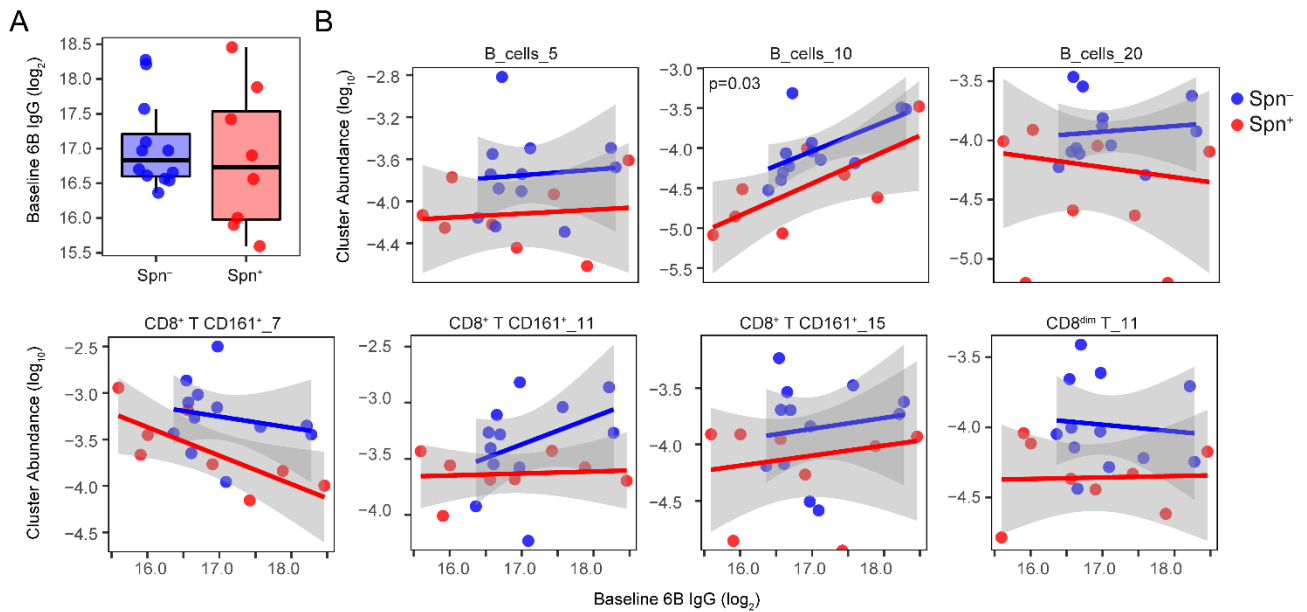


Figure 6. Association between baseline IgG against Spn and cluster abundance. A) Levels of baseline IgG levels against whole cell 6B Spn in serum are shown carriage<sup>-</sup> (blue, n=12) and carriage<sup>+</sup> (red, n=8) subjects. Boxplots depicting median and interquartile ranges, with whiskers extending to 1.5× interquartile range or maximum value, and individual subjects, connected by dashed lines, are shown. B) Correlation between abundance of clusters and baseline levels of IgG are shown. Individuals subjects and regression lines per group are shown, with 95% confidence intervals depicted in grey. \*p=0.03 determined by linear model including carriage status and log-transformed baseline IgG levels.



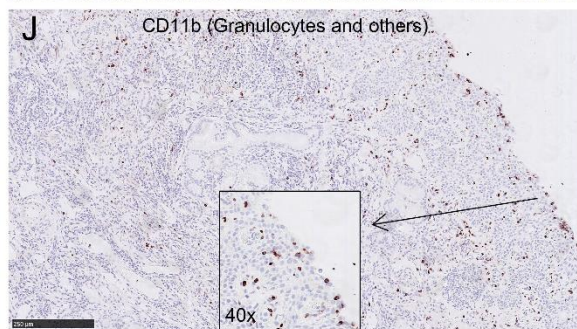
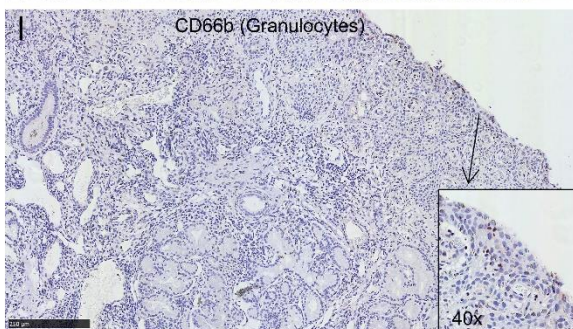
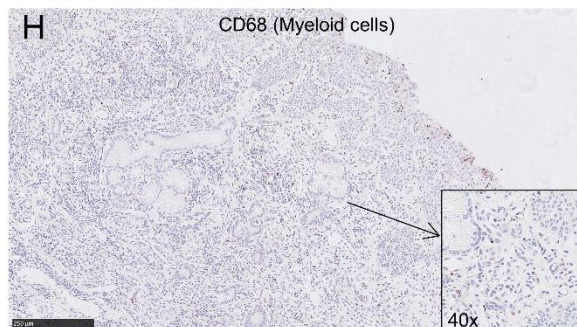
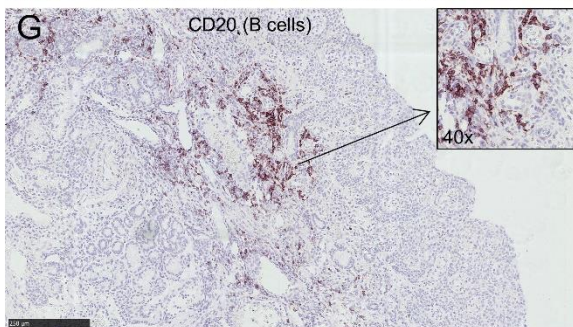
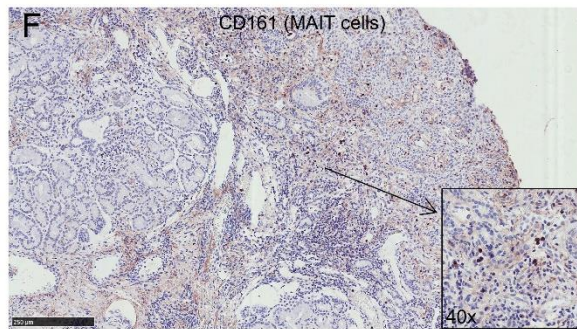
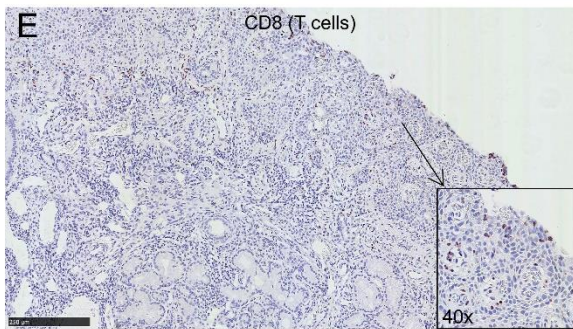
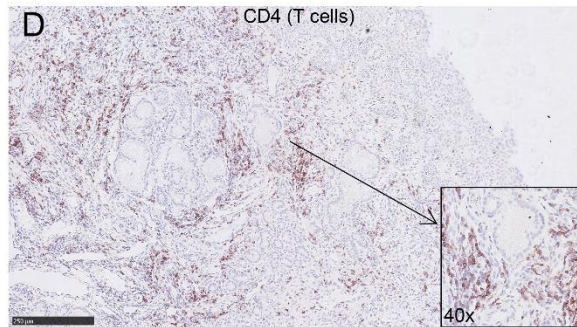
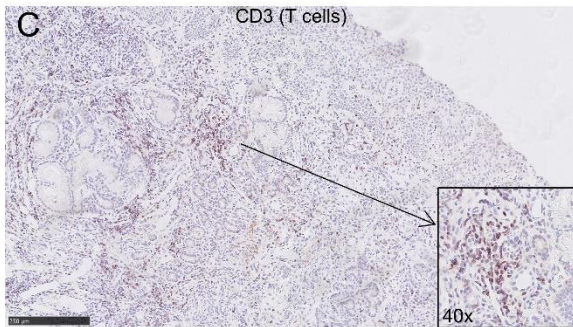
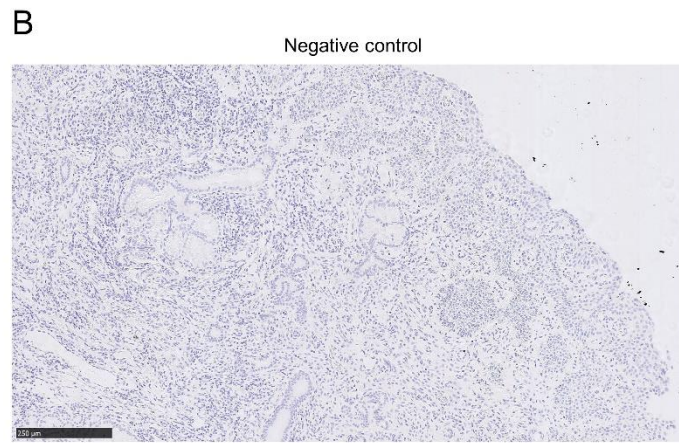
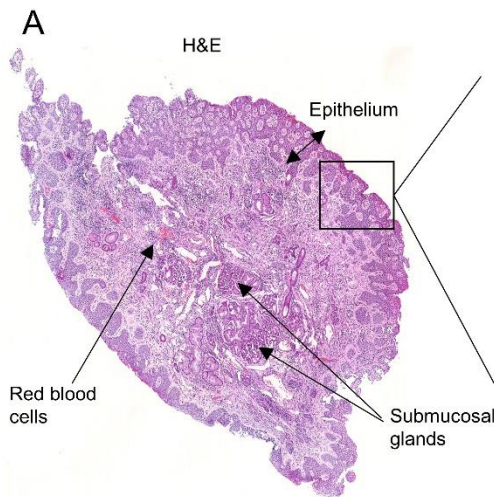




Figure 7. Immunohistochemistry on serial sections of a nasal biopsy. To establish an overall cellular distribution in the tissue a 10x magnification is shown for each of the markers. A 40x inset is also included to visualize some individual positive cells. A) Haematoxylin and eosin staining showing the entire biopsy. Staining of subsequent slices showing the biopsy at the epithelial edge for the markers B) negative control, C) CD3, D) CD4, E) CD8, F) CD161, G) CD20, H) CD68, I) CD66b and J) CD11b. A scale showing 250µm are added to all panels and a 40x inset is included. Slices were counterstained with haematoxylin and eosin. Some background staining of the extracellular matrix is present for CD161 (panel F). Biopsy was derived from one challenged, carriage<sup>-</sup> subject.



## Tables

Table 1. Volunteer cohort characteristics divided by carriage state.

	<b>Carriage<sup>-</sup> (n=12)</b>	<b>Carriage<sup>+</sup> (n=8)</b>
<b>Female gender (%)</b>	4 (33.3%)	4 (50%)
<b>Median age (min-max)</b>	21 (18-44)	23 (20-30)
<b>Median day 2 Spn density CFU/mL (min-max)</b>	-	127.2 (5.8 - 38677.7)
<b>Median day 7 Spn density CFU/mL (min-max)</b>	-	187.5 (0 – 21736.6)

Table 2. List of lineages and subpopulations derived from nasal biopsy analysis. For all nine lineages and twenty-two subpopulations, the numbers of defined cell clusters are shown. In addition, the total numbers of cells within those lineages/subpopulations and the percentage of that subpopulation within all cells for carriage<sup>-</sup> and carriage<sup>+</sup> subjects are shown. Memory cells are defined as CD45RO<sup>+</sup>RA<sup>-</sup> and naïve cells are defined as CD45RO<sup>-</sup>RA<sup>+</sup>

<b>Lineage</b>	<b>Subpopulation</b>	<b>Clusters</b>	<b>Cells</b>	<b>%Carriage<sup>-</sup></b>	<b>%Carriage<sup>+</sup></b>
<b>CD8<sup>+</sup> T cells</b>	CD161 <sup>+</sup> CD8 <sup>+</sup> T cells	17	25103	13.0	11.7
	Naïve CD8 <sup>+</sup> T cells	20	9042	3.8	6.3
	Memory CD8 <sup>+</sup> T cells	26	36860	18.1	19.4
	<b>Total</b>	<b>63</b>	<b>71005</b>	<b>34.9</b>	<b>37.3</b>
<b>CD4<sup>+</sup> T cells</b>	CD161 <sup>+</sup> CD4 <sup>+</sup> T cells	21	36328	18.7	17.0
	CD25 <sup>hi</sup> CD4 <sup>+</sup> T cells	9	2743	1.2	1.9
	Naïve CD4 <sup>+</sup> T cells	9	4235	2.2	1.8
	Memory CD4 <sup>+</sup> T cells	23	21158	10.5	10.8
	CD45RO <sup>-</sup> RA <sup>-</sup> CD4 <sup>+</sup> T	6	2743	1.2	1.9
	<b>Total</b>	<b>68</b>	<b>67207</b>	<b>33.8</b>	<b>33.5</b>
<b>Myeloid cells</b>	-	25	15226	7.4	8.3
<b>Innate lymphoid cells</b>	CD8 <sup>-</sup> CD16 <sup>+</sup> ILC	13	3683	2.0	1.5
	CD8 <sup>+</sup> CD16 <sup>+</sup> ILC	5	2392	1.1	1.4
	CD16 <sup>-</sup> CD127 <sup>+</sup> ILC	4	1550	0.8	0.6
	CD16 <sup>-</sup> CD127 <sup>-</sup> ILC	9	3417	1.7	1.8
	<b>Total</b>	<b>31</b>	<b>11042</b>	<b>5.6</b>	<b>5.3</b>
<b>B cells</b>	-	22	10279	5.8	3.7
<b>CD4<sup>-</sup>CD8<sup>-</sup> T cells</b>	TCRgd T cells	9	1838	0.8	1.2
	DN T cells	7	3052	1.6	1.5
	CD8 <sup>dim</sup> T cells	13	4317	2.2	2.1
	<b>Total</b>	<b>29</b>	<b>9207</b>	<b>4.6</b>	<b>4.7</b>

<b>Granulocytes</b>	CD66b <sup>+</sup> Granulocytes	19	5478	2.9	2.4
	CD66b <sup>-</sup> Granulocytes	2	662	0.3	0.3
	<b>Total</b>	<b>21</b>	<b>6140</b>	<b>3.2</b>	<b>2.7</b>
<b>CD117<sup>+</sup> cells</b>	CD117 <sup>+</sup> lymphocytes	8	2566	1.3	1.3
	CD117 <sup>+</sup> mast cells	13	2810	1.5	1.2
	<b>Total</b>	<b>21</b>	<b>5376</b>	<b>8.5</b>	<b>6.2</b>
<b>Plasma cells</b>	-	13	3944	2.0	2.0
<b>9</b>	<b>22</b>	<b>293</b>	<b>199426</b>	<b>100</b>	<b>100</b>